

# ALL STATE BONDS

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## APPLICATION FOR PENSION TRUST (ERISA) NAME SCHEDULE BOND Non-Union Plans Only Qualifying Assets Only

Requested Bond Amount: \$ \_\_\_\_\_  
(Amount applies to each fiduciary listed below)

Effective Date: \_\_\_\_\_

Is this bond required because more than 5% of the Plan assets are "non-qualifying"?  Yes  No  
If yes, please contact our office.

Legal Name of Plan(s): \_\_\_\_\_

Type of Business: \_\_\_\_\_

Business Address: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Total Plan Assets: \$ \_\_\_\_\_ Number of Trustees: \_\_\_\_\_ Number of Participants: \_\_\_\_\_

Each fiduciary (trustee) to be named (please print):

Name \_\_\_\_\_

Name \_\_\_\_\_

Name \_\_\_\_\_

Is the Plan audited by a CPA?  Yes  No Date of last audit: \_\_\_\_\_  
If no, why is the plan not audited? \_\_\_\_\_

Previous ERISA coverage?  Yes  No If yes, list bond carrier: \_\_\_\_\_

Has applicant experienced any claims in the past five years?  Yes  No  
(If yes, give specific details on each incident, and any changes made to prevent a reoccurrence, on a separate sheet.)

Premium payments for this new bond:  1 year bond  3 year bond

### COMPLETE THE FOLLOWING FOR REQUESTS OF \$500,000 AND LARGER

What % of Plan assets are **employer securities**? \_\_\_\_\_%

Are Plan accounts reconciled by someone not authorized to deposit or withdraw funds?  Yes  No

Are two (2) or more signatures required for withdrawals and larger checks?  Yes  No

Are separate corporate trust account(s) established for the Plan assets?  Yes  No

If yes, where are the assets held? \_\_\_\_\_

#### **PAYMENT METHOD:**

**Check** (Make Check Payable to All State Bonds)

**Money Order**

**Credit Card**

Master Card  Visa  Amex  Discover

Name on Card: \_\_\_\_\_

Credit Card No.: \_\_\_\_\_

Expiration Date: \_\_\_\_\_ Amount \$ \_\_\_\_\_

Signature: \_\_\_\_\_

Your credit card will not be charged until policy is issued.

The undersigned agrees the above representations are an accurate statement of current information and procedures. This application, with Bond Declarations and Provisions, and endorsements issued to form a part thereof, constitute the entire contract.

Signature of Officer or Employer

Official Title

Bonds Underwritten By

